

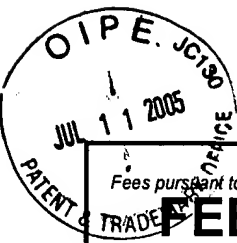


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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/942,164
	Filing Date	August 29, 2001
	First Named Inventor	Christian Huitema
	Group Art Unit	2152
	Examiner Name	Trong Nhan P. Nguyen
<input type="checkbox"/> Sent via Express Mail Label No.:	Attorney Docket Number	167537.02

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Drawing(s) (sheets)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Declaration <input type="checkbox"/> Newly Executed (pages) <input type="checkbox"/> A copy from a prior application (37 CFR 1.63(d)) (pages)	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Proprietary Information
<input checked="" type="checkbox"/> Information Disclosure Statement with Form PTO/SB/08B (1 pages)	<input type="checkbox"/> Petition	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Response to Notice to File Missing Parts <input type="checkbox"/> A copy of the Notice to File Missing Parts Under 37 CFR 1.52 or 1.5	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Application Data Sheet
CERTIFICATE OF MAILING OR TRANSMISSION (Under 37 CFR § 1.8(a)) I hereby certify that this correspondence is being: <input checked="" type="checkbox"/> deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450; or <input type="checkbox"/> transmitted by facsimile on the date shown below to the USPTO at (703) _____. <u>July 8, 2005</u> Date <u>David L. Lee</u> Signature <u>David Lee</u> Printed Name	<input type="checkbox"/> General Power of Attorney (SB80) <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> Request for Corrected Filing Receipt <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Other Enclosure(s) (please identify below): <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Remarks <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required, or credit any overpayments, to Deposit Account No. 50- 0463 for the above identified patent application.		

SIGNATURE OF ATTORNEY OR AGENT					
Signature		<u>David L. Lee</u>		Reg. No.	38,222
Name of Attorney or Agent			David Lee		
Date	July 8, 2005	Tel.	(425) 703-8092	Facsimile No.	(425) 708-5046
Assignee Name:			MICROSOFT CORPORATION ONE MICROSOFT WAY REDMOND, WA 98052		
Customer Number:			22971		



Effective on 12/08/04
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL
For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**0.00**

Complete if Known

Application Number	09/942,164
Filing Date	August 29, 2001
First Named Inventor	Christian Huitema
Examiner Name	Trong N. P. Nguyen
Art Unit	2152
Attorney Docket No.	167537.02
Express Mail Label No.	

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: **50-0463** Deposit Account Name: **MICROSOFT CORPORATION**

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES							
Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	0.00
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	0

2. EXCESS CLAIM FEES			Small Entity	
Fee Description	Fee (\$)		Fee (\$)	Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50		25	
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200		100	
Multiple dependent claims	360		180	
Total Claims Extra Claims Fee (\$) Fee Paid (\$) Multiple Dependent Claims				
20 - 20 or HP= 0	x 50	= 0	Fee (\$)	Fee Paid (\$)
HP = highest number of total claims paid for, if greater than 20			0	0
Indep. Claims Extra Claims Fee (\$) Fee Paid (\$)				
3 - 3 or HP= 0	x 200	= 0		
HP = highest number of independent claims paid for, if greater than 3				

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
-100 = 0	/ 50 = 0	(round up to a whole) number x 250	=	0

4. OTHER FEE(S)

Non-English Specification,	\$130 fee (no small entity discount)	Fees Paid (\$)
Other: IDS		0

SUBMITTED BY

Signature	<i>David Lee</i>	Registration No. (Attorney/Agent)	38,222	Telephone	(425) 703-8092
Name (Print/Type)	David Lee			Date	July 8, 2005



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: Christian Huitema

Attorney Docket No.: 167537.02

Application No.: 09/942,164

Group Art Unit: 2152

Filed: 08/29/2001

Examiner: Trong Nhan P. Nguyen

Customer No.: 22971

Confirmation Number: 6841

Title: PEER-TO-PEER NAME RESOLUTION PROTOCOL (PNRP) AND MULTILEVEL CACHE FOR USE THEREWITH

Mail Stop Issue Fee

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

STATEMENT FILED PURSUANT TO
THE DUTY OF DISCLOSURE UNDER 37 C.F.R. §§ 1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. § 1.97

☒ This Information Disclosure Statement has been filed more than three (3) months after the filing date of this application and after the mailing date of the first Office Action and Notice of Allowance,

☒ The applicant hereby states, as specified in 37 C.F.R. § 1.97(e), that

☒ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart for this application not more than three (3) months prior to the filing of this Statement. No fee is required.

PART II: Patent Term Adjustment

Each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign Patent Office in a counterpart application and this communication was not received by the office of the undersigned more than 30 days prior to filing of this Information Disclosure Statement.

PART III: Remarks

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO/SB/08B. The order of presentation of the references should not be construed as an indication of the importance of the references. Documents cited on the attached form PTO/SB/08B are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO/SB/08A be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. § 102.

Notwithstanding any statement by the Applicant, the Applicant urges the Examiner to form his own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested.

Respectfully submitted,

MICROSOFT CORPORATION

Date: July 8, 2005

By: David S. Lee
David S. Lee,
Reg. No. 38,222
Microsoft Corporation
Redmond, Washington
Telephone (425) 703-8092

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]

I hereby certify that this correspondence is being:

☒ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:
Mail Stop Issue Fee, Commissioner for Patents, P. O. Box 1450, Alexandria, VA
22313-1450

July 8, 2005
Date

David S. Lee
Signature
David S. Lee